N° A009

Final Report of the Aircraft Accident Investigation Bureau

concerning the incident (Airprox)

between CRX518, HB-IZY and BZH831, F-GRJB on 23rd September 1999 FIR Zurich, 18 NM North-East ROTIS

FINAL REPORT

AIR TRAFFIC INCIDENT REPORT (ATIR)

AIRPROX (NEAR COLLISION)

THIS REPORT HAS BEEN PREPARED FOR THE PURPOSE OF ACCIDENT/INCIDENT PREVENTION. THE LEGAL ASSESSMENT OF ACCIDENT/INCIDENT CAUSES AND CIRCUMSTANCES IS NO CONCERN OF THE INCIDENT INVESTIGATION

(ART. 24 OF THE AIR NAVIGATION LAW)

PLACE/DATE/TIME FIR Zurich / 18 NM north-east ROTIS

23 September 1999 16:37 UTC

AIRCRAFT 1. CRX518 SAAB2000 HB-IZY, Crossair,

Geneva - Dusseldorf

2. BZH831 CL 600 FGRJB, Britair (France),

Munich - Lyon

ATS CENTRE ACC Zurich (Area Control Centre)

AIR TRAFFIC CONTROLLERS RE-Upper 1 (Radar-Executive air traffic control)

RP-Upper 1 (Planning radar air traffic control)

AIRSPACE C

HISTORY

CRX518 was flying from Geneva to Dusseldorf. On first contact with Zurich radar, frequency 133.050 MHz, the pilot reported FL210 climbing to FL240 (requested cruising altitude was FL310). CRX518 was then authorised by RE-Upper1 (Radar-Executive) on route FRI – TRA – TGO to FL270.

BZH831 was flying from Munich to Lyons at an altitude of FL280. The flight route was KPT – TRA – BENEM. Shortly before TRA, BZH831 was authorised by RE-Upper1 to proceed direct to BENEM.

When CRX518 reached FL270 and requested a higher FL, he was instructed by air traffic control to maintain FL270 (expect FL280 in about 15 NM as final level), since he clearly wished this flight to cross with BZH831 first.

Two minutes later the first crossing occurred, with CRX518 crossing with KAC171A. At this time air traffic control issued CRX518 the instruction to climb to FL280. CRX518 and BZH831 were approximately 10 NM apart on a opposite course. Immediately thereafter, the RE recognised his error and requested CRX518 (which was now at FL276) to descend back to FL270. At the same time, he instructed BZH831 to make a 15 degree turn to the right. However, on the basis of a TCAS (Traffic Collision Alert System) alarm, BZH831 was already on the point of climbing. Despite the measures taken, both by the air traffic controller and the pilot, a dangerous encounter occurred (3 NM horizontal and 700 ft vertical separation).

FINDINGS

- CRX518 and BZH831 were in radio contact with Zurich radar (Upper 1).
- Both aircraft were in transit through Zurich region.
- BZH831 was instructed to remain at FL280.
- In the TRA (Trasadingen) region, there were a few CB (cumulo-nimbus) clouds.
- The STCA (Short Term Collision Alert) at air traffic control signalled very late.
- BZH831 was following a TCAS-RA (Resolution Advisory).

ANALYSIS

At the time of the incident, traffic was moderate to heavy. Because of the CB in the TRA region, various pilots asked to fly around these hazard areas making minor course corrections.

According to air traffic control planning, CRX518 and BZH831 were to cross approx. 18 NM north-east of ROTIS. This caused RE-Upper1 to authorise CRX518 to climb to FL270 for the time being, since another aircraft, namely KAC171A, was on a reciprocal course to CRX518 at FL290 (approximately 10 NM in front of BZH831), in order to descend subsequently to Geneva.

RE-Upper1 recognised his mistake straight away and was simultaneously made aware of the danger by his PLANNING air traffic controller. This caused the RE to

instruct CRX518 (in the meantime climbing between FL271 and 275) to descend again to FL270 immediately.

At the same time the pilot of BZH831 received a TCAS alert to climb immediately. He did this up to FL288. Finally RE-Upper1 allocated BZH831 an avoiding heading.

At the same time as the above-mentioned actions, the air traffic controller at his workstation received an STCA (Short Term Conflict Alert), making him aware of the developing danger. In this case, however, the alarm occurred at the precise time when both the air traffic controller and the pilots of the aircraft concerned had already initiated measures to defuse the conflict situation.

The reactions and instructions of the controller, after he had become aware of his mistake, were rapid. However, the quickest possible resolution of the conflict suffered a short delay, as a result of the shock experienced by the controller in view of the situation, owing to an initial imprecise instruction to CRX518 (controller: sorry, maintain FL280 (instead of FL270), opposite traffic!). On the second attempt, FL270 was then stated clearly.

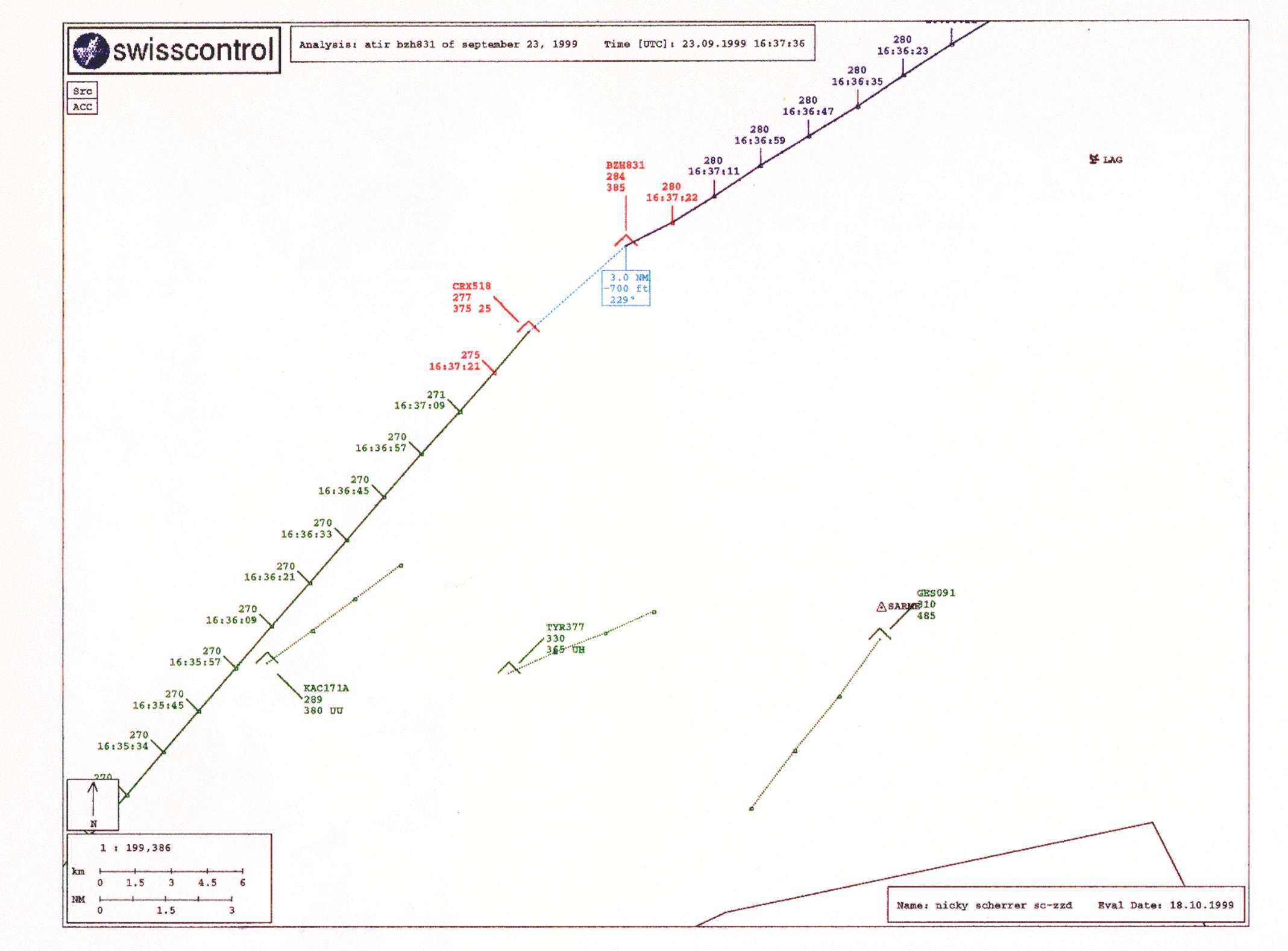
The entire conflict situation was fortunately able to be resolved by fast reactions and the exemplary co-operation of all concerned.

CAUSE

The incident arose as a result of a brief lack of attention on the part of the Radar controller, resulting in the fact that BZH831 was for a short time no longer part of his control concept.

Bern, 6 June 2001

Swiss Aircraft Accident Investigation Bureau





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Flugsicherungsbetrieb Zürich CH-8058 Zürich-Flughafen

Zürich-Flughafen, 27.9.1999

Transcript of Original Tape Recording

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Subject ATIR BZH831 of September 23, 1999

 $Abbreviations \hspace{1cm} 831 \hspace{1cm} \rightarrow \hspace{1cm} BZH831 \hspace{1cm} \rightarrow \hspace{1cm} Britair \hspace{0.1cm} (France)$

and Call Signs 518 \rightarrow CRX518 \rightarrow Fehler! Textmarke nicht definiert. Crossair

ACC → Zurich ACC Upper 1 Sector

Frequencies Zurich ACC West Upper 1 Radar 133.050 MHz

The signer certifies the completeness and correctness of the present transcript.

swisscontrol

Flugsicherungsbetrieb Zürich

Nicky Scherrer

| From | То | Time UTC | Communications | Observations | | | |
|-----------------------|-----|----------|---|--------------|--|--|--|
| 831 | ACC | 16:25:28 | Zürich, good afternoon, BZH831, passing flight level 275 for 280 | | | | |
| ACC | 831 | :32 | BZH831, good evening, sqawk 2747 | | | | |
| 831 | ACC | :35 | 2747, BZH831 | | | | |
| 4 stations in between | | | | | | | |
| ACC | 831 | :26:35 | BZH831, identified, maintain 280, inbound Trasadingen, call you back for left turn | | | | |
| 831 | ACC | :43 | Okay, inbound Trasadingen and 280 for the flight level, BZH831 | | | | |
| 1 station in between | | | | | | | |
| 518 | ACC | :28:29 | Zürich "Guten Tag", CRX518, flight level 210 climbing to flight level 240 | | | | |
| ACC | 518 | :35 | CRX518, "Guete Abig", identified, cleared Trasadingen Tango, climb to level 270 | | | | |
| 518 | ACC | :40 | Trasadingen Tango, 270, CRX518 | | | | |
| 8 stations in between | | | | | | | |
| ACC | 518 | :31:48 | BZH831, left to BENEM | | | | |
| 1 station in between | | | | | | | |
| 831 | ACC | :32:08 | That was for BZH831, direct BENEM? | | | | |
| ACC | 831 | :11 | correct | | | | |
| 831 | ACC | :12 | Okay, will do that | | | | |
| | | | | | | | |

8 stations in between

| From | То | Time UTC | Communications | Observations | | | |
|-----------------------|----------------|----------|---|------------------------|--|--|--|
| | | | | | | | |
| 518 | ACC | 16:34:12 | Zurich, CRX518, any chance for higher, to stay out of clouds? | | | | |
| ACC | 518 | :16 | Ja, expect 280 in about fifteen miles as final level, stand-bye, maintain 270 | | | | |
| 518 | ACC | :22 | Thank you | | | | |
| 3 stations in between | | | | | | | |
| | | | | | | | |
| ACC | 518 | :36:47 | CRX518, climb to level 280 | | | | |
| 518 | ACC | :51 | Recleared flight level 280, CRX518 | | | | |
| | | | | | | | |
| 2 aircra | ıft in between | | | | | | |
| | | | | | | | |
| ACC | 518 | :37:07 | CRX518, sorry, maintain 280*, opposite traffic | *says 280 | | | |
| 518 | ACC | :14 | CRX518, confirm, we will maintain flight level 280? | | | | |
| ACC | 518 | :18 | Negative, 270, sorry | | | | |
| 518 | ACC | :24 | *518, present altitude 276 descending flight level 270, CRX518 | *two stations blocking | | | |
| ACC | 518 | :27 | Thank you | | | | |
| ACC | 831 | :28 | BZH831, right by one five degrees | | | | |
| 831 | ACC | :30 | We are climbing right now, we have a TCAS resolution | | | | |
| ACC | 831 | :35 | Yes, opposite traffic three miles at 275 descending again | | | | |
| 831 | ACC | :40 | He was at 279 for us | | | | |
| ACC | 831 | :43 | Okay | | | | |
| 518 | ACC | :46 | CRX518, traffic crossed | | | | |
| ACC | 518 | :48 | Thank you | | | | |
| 831 | ACC | :51 | We are descending to 280, BZH831 | | | | |
| ACC | 831 | :54 | Thank you, BZH831 | | | | |
| 1 station in between | | | | | | | |
| ACC | 518 | :38:13 | CRX518, recleared again flight level 280 | | | | |

| From | То | Time UTC | Communications | Observations |
|------|-----|----------|--|--------------|
| | | | | |
| 518 | ACC | 16:38:18 | Recleared flight level 280, CRX518 | |
| ACC | 831 | :25 | BZH831, descend now to flight level 270 | |
| 831 | ACC | :30 | Down 270, BZH831 | |
| 831 | ACC | :42 | And BZH831, we will file a report for that TCAS resolution and AIRPROX | |
| ACC | 831 | :50 | Okay, and say again the rest? | |
| 831 | ACC | :54 | For an AIRPROX, - AIRPROXIMITY | |
| ACC | 831 | :57 | Okay | |
| 831 | ACC | :59 | We had about four hundred feet separation | |
| ACC | 831 | :39:02 | Okay | |