

## Safety recommendation no. 57

| Date of the publication                                   | 14.10.2014  |
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| Number of the final report                                | 2013022801  |
| Safety deficit  | At 16:30 on Thursday 28 February 2013, a service wagon on service<br>train 32463 was derailed in Kloten Dorfnest. No-one was injured.<br>There was substantial material damage to the SBB infrastructure<br>installations and to the derailed wagon.  |
| Safety recommendation                                     | The railway companies should include in their trains only those<br>wagons which are listed in their rolling stock register. The train<br>preparer should examine with the aid of appropriate documents<br>whether the vehicles making up the train are certificated for<br>transportation by the responsible railway operator (safety certificate<br>with vehicle list in the form of an excerpt from the rolling stock<br>register).   |
| Stage of the implementation                               | RailA, Art. 8c states that a safety certificate is required for anyone wishing to operate rail traffic. Deriving from the Directive on network access licence and safety certification as well as safety authorisation, FOT also requires the following documentation: •List of all proposed vehicles in the form of an extract from the NVR railway vehicle index. RailO, Art. 83b requires all railway operators to have a safety certificate from 1 January 2014, following a transition period. Applying these regulations guarantees that railway operators deploy only those vehicles for which they have responsibility for operational approval. FOT monitors preventatively by examining safety management systems, including onsite checks and internal audits of railway operators, and thus monitoring compliance with these regulations. |
| Investigation report concerning the safety recommendation | Schlussbericht  |